**The evolution of kin preferences and the kin composition of social groups**

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**Abstract**

Using an individual-based, genetically explicit model we explore the co-evolution of cooperation and kin preference, and hence kin composition of social groups. We find that the parameter that has the largest effect on the kin composition of social groups is the species fecundity, which explains almost 90% of the variance in kin preference, and therefore group relatedness observed. The group carrying capacity only had a minor influence on the level of kin preference that evolves except if the fecundity is low, the carrying capacity very high and the cost of cooperation high. In this case high levels of cooperation did not evolve and kin preference remained low.

**Introduction**

It has long been recognized that high levels of relatedness allow the evolution of costly altruistic behaviours (refs). Therefore one might expect that, given a choice, social groups will tend to be formed with close relatives. However researchers have been measuring intergroup relatedness in social groups and found lower levels of relatedness than expected (refs from LA 2004). These results emphasize the need to consider the costs and benefits of group living from an ecological perspective

Ultimately individuals form groups because their fitness is increased if they are in a group compared to being alone. Example of groups include lion prides where individuals can hunt and catch larger prey then if alone (ref•••), flocks of birds where more eyes means a greater chance of spotting a food source or meerkat groups where individuals in the group gain increases protection from predators (refs •••). However there are costs to forming groups which include competition between group members for food or space, mate competition or increased conspicuousness. Cooperation can allow for the colonization of harsh environments . In this paper we are assuming therefore, that the function linking individual fitness to group size is a unimodal humped function (refs •••) with individual fitness being maximized at some intermediate group size.

All of this assumes that each individual within the group has the same individual fitness. But if interactions have a cost to them. **Strongly** Altruistic behavior has been defined as behaviour for which the others gain less than…. So if an individual cooperates but loses and defectors gain how could groups avoid this? One option is to be restrictive in who you admit to the group. If you have the ‘cooperative allele’ and restrict your group to kin, then this increases the chances that individuals within the group have this ‘cooperative allele’. If there is a cost to cooperation, where the cooperators individual fitness is reduced. This would mean that if non-cooperators would benefit at the expense of cooperators. However this can be offset if groups are restrictive in their group entry, admitting only kin. Kin are more likely to have the ‘cooperation allele’ so the overall group fitness increases and the cost to the individual due to cheaters in reduced. The effect of restricting group admission to only kin and the evolution of highly altruistic behaviors can be seen in eusocial insects….. However this can not be the only explanation for the evolution of highly altruistic behaviours as there are many altruistic behaviours that occur between non-kin.

Several factors may prevent groups from being restricted to close kin. One scenario, for instance, involves the ecological need to form groups that are larger than the number of available relatives, either because the fecundity of a species is low (e.g., •••) or the size of the groups that need to be formed is large . For example the tree-killing bark beetle needs a large number of individuals to overcomes a tree’s defences to gain resources (e.g., •••) (Avilés et al. 2004). It may also be that locating close relatives or building up a group with a sufficiently large number of close relatives is not practical given a limited time to form groups or because it may not be possible to discriminate close kin from non-relatives. The kin composition of social groups may thus largely be determined by demographic and ecological factors that cannot be circumvented, a possibility that has hardly been considered (but see Avilés et al. 2004). Here we investigate the evolution of kinship rules of admission using an individual based, genetically explicit model where cooperative interactions cause group-living individuals to have greater fitness than individuals living solitarily or in very small groups. For example, social spiders are able to capture prey far too large for them to capture individually, thus increasing the fitness of every individual in the group.

Herewe consider how the kin composition of social groups evolves in response to demographic and ecological factors such as the intrinsic fecundity of a species and an externally dictated group carrying capacity. Assuming that cooperative interactions range from mutualistic (were a act by an individual benefits itself as much as it does other members of the group) to highly altruistic (when an act by an individual increases the fitness o, we consider how kinship rules of admission evolve, influencing or being influenced by the level of cooperation that evolves within groups.

We base our model on the equation proposed by Avilés (1999) where cooperative interactions have a synergistic effect on fitness. This model has been previously used to study the coevolution between cooperative and grouping tendencies, either among nonrelatives (Avilés et al. 2002) or assuming different kinship structures (Avilés et al. 2004). While in these models group size evolves in response to the coevolution of grouping and cooperation, here we assume that group size is externally fixed by ecological factors, which would occur if the costs to an individual of being in a group are smaller than the benefits when groups are over a certain size and allow instead the evolution of the degree to which individuals discriminate against kin when becoming associated in social groups. As suggested in Aviles et. al (2004) when the group size requirements of a species is too large to be fulfilled by close family, social group will be more likely to include non kin. For example Australian cloughs need a minimum of four individuals to raise one chick per year (Heinsohn, 1992). As the reproductive rate of these birds is low they kidnap and raise birds from other groups to help with brood care (Heinsohn, 1991)

We find that the factor that has by far the largest effect on the kin composition of social groups is the intrinsic fecundity of a species, which explains close to 90% of the variance. Interestingly, the group carrying capacity was only a minor influence, except for a combination of very high group carrying capacity and very low fecundity, in which case groups of low relatedness formed when the relative fitness costs of cooperation were high. We also find that levels of cooperation, group size, and the kin composition of social groups oscillate through time, with cooperation and group size oscillating in parallel and being counter correlated with the degree of relatedness among members of the groups formed.

**Methods**

*The model (methods copied from Julian’s documents and adapted from Veelen et al. 2010 etc.)*

In this model we assume that individuals come together in one-generation breeding associations where they perform tasks more efficiently together than alone, as in Aviles et al (2002, 2004) and van Veelen et al (2010). The size of the groups formed reflects the expected balance between costs and benefits of group living, given an externally-imposed group carrying capacity and the average cooperative tendencies of existing group members. Within groups, the extent to which individuals help one another is determined by individually coded “cooperative” tendencies. Cooperation increases group productivity, but lowers the relative fitness of cooperators within their group depending on the cost of cooperation. In addition to being dependent on a group’s current size, the admission of particular individuals to a given group depends on its degree of relatedness to existing group members, as a function of the individual’s genetically coded kin preference and the average kin preference of existing group members. Both individual cooperative tendencies and the strength of kin preference evolve in the simulations.

*Per Capita Group Productivity and Relative Fitness within Groups*

We assume that the number of offspring produced per capita is maximized in groups of intermediate size, reflecting a balance between benefits (e.g. protection from predators, greater foraging success) and costs (e.g. crowding, resource competition) of group living.

As in earlier models (Avilés, 1999, 2002, Avilés et al., 2002, 2004; van Veelen 2010), we assume that the number of offspring produced by an individual is a function of the size of its group, the average cooperative tendencies of group members, and the cooperative tendencies of the individual itself, according to the function,

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| , | (1) |

where *n* is the size of the group, *r* is the intrinsic rate of growth parameter, *c* is the inverse of the group carrying capacity (so the smaller *c*, the larger the group carrying capacity), β is the cost of cooperation, controlling the extent to which cooperative interactions are mutualistic (β=0) versus altruistic (β>0) and represents cooperative tendencies, which range between 0 and 1. Therefore is the cooperative tendency of the individual and is the average cooperative tendencies within the group.

From equation (1) it can be seen that *er* is the reproductive output of an individual in the absence of interactions with conspecifics, *e-cn* represents the negative effects of group living on an individual’s reproductive output and represents the synergistic effects of grouping due to cooperation. is the relative fitness function, which allows for the possibility that cooperators may suffer a relative fitness cost within their groups.

*Genetic and breeding systems*

Individuals are diploid with cooperative and kinship preference each represented by 15 binary loci with 0 or 1 as possible alleles. These translate additively to phenotypic values between 0.0 and 1.0, which is the proportion of 1’s in a diploid complement. Haploid gametes are procured through processes mimicking meiosis and recombination. Mutation occurs at a rate of 10-2 per locus per generation. It is implemented through an inversion/translocation mechanism to avoid bias against the most common allele, which would occur by simply mutating randomly chosen sites to the opposite allele.

For simplicity, we model a single sex, with individuals performing the female function but also able to contribute sperm. Because individuals choose mates at random from the global population prior to group formation, selfing is avoided and the system is equivalent to a two-sex system in which males are only able to fertilize eggs. This model therefore replicates species with outbreed breeding systems, such as those where males move between groups or where mating takes place before the group formation phase. However this model is not appropriate for organisms characterised by inbred social groups such as naked mole rats (Faulkes, Abbott, O’Brien, *et al.*, 1997; Burland, Bennett, Jarvis, *et al.*, 2002) or social spiders (Aviles, 1997). To facilitate relatedness calculations, all offspring in a clutch are sired by the same father.

*Group Formation*

Groups are formed by accretion. The group formation phase involves individual leaving the global pool one at a time at random. Each individual starts by trying to get into the last non-empty group and continues backwards from there. Because newly dispersed relatives occupy the most recently formed groups, this creates a linearly viscous population structure that maximizes encounters between relatives. If an individual is unable to join any of the groups it establishes a new one. We assume that there are ecological limits on the size of the global population by having only a limited number of available nesting sites. Individuals that do not get admitted to any of the nesting sites are discarded. Note that since individuals are chosen at random to join groups, this procedure does not bias selection.

The probability that a new member is accepted into a group is

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|  | (2) |

Function h(j) is a group size dependent admission probability which equals 1 if the group *j* is below the optimal group size , zero if the size of j is above the expected stable group size, and linearly decreasing in between. This ensures that groups form at a size between the optimum and stable group size, as is often observed in natural populations (ref see Giraldeau 1988).

Function k weights the kinship preferences of the joiner and existing group members,

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|  | (3) |

where *Rij* is the relatedness of individual *i* to *j*, *m* is the kinship preference with being the average kinship preference within group *j* and being the kinship preference of individual *i*. Relatedness is computed by keeping track of pedigree relationships for every individual up to the great grandmother generation, a task that is facilitated by the fact that all offspring within one clutch have the same father.

For *m* =0 relatedness does not play a role, that is, individuals have no preference for associating with kin. For *m* equal to 1, only full sibs are admitted. For *m* = 0:5 we get a linear function that approximates the kin-preference case of earlier simulations (Avilés et al. 2004), always letting in brothers and letting in cousins with a probability close to 1/4, second cousins with probability 1/16 and so on (figure 1).



**Figure 1**: Kinship weighting function, which describes the probability of admittance to a group depending on an individual’s average relatedness to existing group members. *m* corresponds to the genetically-coded kinship preference levels.

*Simulation and analysis* *(Statistical description of method closely based on AmNat 2002 paper)*

The model was run for each combination of parameters values (table 1) once for 50000 generations. The first 2000 generations were discarded before any analysis was carried out to ensure that the simulations had reached equilibrium.

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| Factor | Levels |
| *r* | 0.10, 0.25, 0.50, 0.75, 1.00, 1.50, 2.0 |
| *c* | 0.20, 0.06, 0.10 |
| *β* | 0.0, 0.2, 0.6, 0.8 |

**Table 1:** The values of each parameter used in the simulations in a fully factorial design

We used an ANOVA to test for the effect of the various parameters and their second- and third-order polynomials and their interactions. We customized the test for each response variable by dropping all nonsignificant (P>0.05) terms and their interactions. The r2 of the reduced models ranged from 84.3-94.4% (see table 2). Kin preference and average cooperative tendencies were arcsine transformed, while relatedness and group size were log transformed prior to analysis.

**Results**

*Kin preference, relatedness, cooperation and group size*

In the simulations, the intrinsic rate of growth, *r*, was the main determinant of the level of kin preference that evolved. As *r* increased so did the genetically-coded level of kin preference, until a value of about 0.9 was reached (figure 2). Along with an increase in the degree of kin preference, the degree of relatedness within groups also increased. Therefore the intrinsic rate of growth largely dictated the kin composition of the groups formed, explaining 88.4% of the variance in kin preference and 90.7% of the degree relatedness within groups. Surprisingly, the cost of cooperation had little effect on the degree of kin preference that evolved (2.31% of the variance), except when the intrinsic rate of growth was small and the group carrying capacity large, in which case high costs of cooperation were correlated with lower cooperative tendencies, smaller groups, and groups that were less restrictive in their degree of kin preference (figure 2).

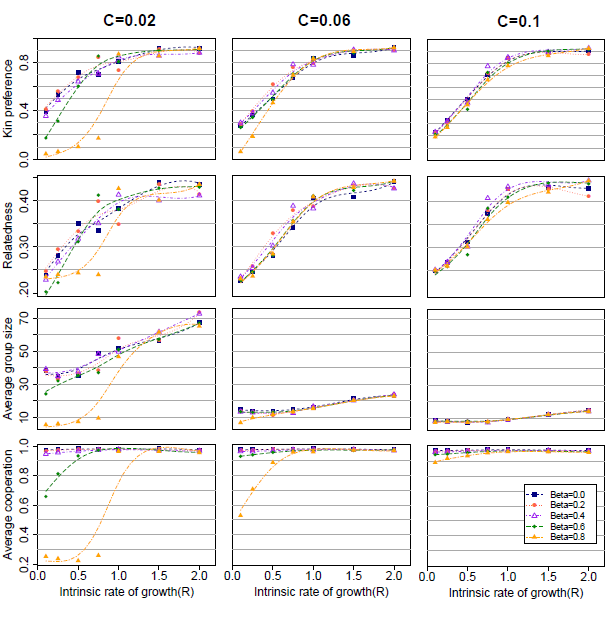
The size of the groups that formed depended mainly on the group carrying capacity (63.3% of the variance) and the intrinsic rate of growth (15.8%), with larger group carrying capacities and rates of growth producing larger groups (figure 2). Again group size was affected little by the cost of cooperation with only 4.7% of the variance in group size explained by this parameter (table 2), except when the group carrying capacity was high and the intrinsic rate of growth low, in which case the groups formed were small (figure 2).

It must be noted that the size of groups that formed was not under direct selection, but rather reflected how the value of the parameters in the group admission function (equation 2) changed as the system evolved. The group admission function depends on optimum and stable group sizes, which are both a function of the group carrying capacity (a non-evolving parameter of the model) and the evolving cooperative tendencies of group members (Avilés 1999; Avilés et al. 2004; van Veelen et al. 2010), with the stable group size being also a function of the intrinsic rate of growth (Avilés 1999). Larger groups also formed as the levels of kin preference and relatedness within groups increased. From figure 3 (bottom panel), it can be seen that the average size of the groups oscillates just below or around the optimum group size. It never gets near the stable group size with the average group size around 6 times smaller than the stable group size. From equation (1), the optimum group size can be calculated as (Avilés et al. 2004; van Veelen et al. 2010).

The level of cooperation that evolved was relatively invariant, evolving close to 1 under the majority of parameter combinations (table 2). Counter-intuitively, this included cases where cost of cooperation was high, except when both the intrinsic rate of growth was small (< 1.0) and the group carrying capacity, 1/c, was large (C=0.02) (figure 1). In the latter case, increasing the cost of cooperation lowered the average level of cooperation that evolved and also the size of groups formed. This could be reflected in the relatively large contribution interaction effects make towards the level of cooperation that evolved (36.9% of the variance). Only 29.9% of the variance in cooperative tendencies was attributable to the costs of cooperation.

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| Parameter | Kin Preference | Relatedness | Group size | Cooperative tendencies |
| r (intrinsic rate of growth) | 88.4 | 90.7 | 15.8 | 11.1 |
| C (inverse of group carrying capacity) | 0.10 | 0.53 | 63.3 | 6.4 |
| β (cost of cooperation) | 2.31 | 0.31 | 4.7 | 29.9 |
| Interactions | 2.49 | 0 | 10.6 | 36.9 |
| Total (r2) | 93.3 | 91.4 | 94.4 | 84.3 |

**Table 2:** Percentage variance explained by each of the parameters of the model for the average kin preference, relatedness, group size, and level of cooperation that evolved in the simulations once equilibrium had been reached.

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**Figure 3: Global** average kin preference, relatedness, relative group size and cooperation against intrinsic rate of growth, Beta (cost of cooperation) and C (inverse of group carrying capacity). Relative group size is calculated by multiplying the average group size by C.

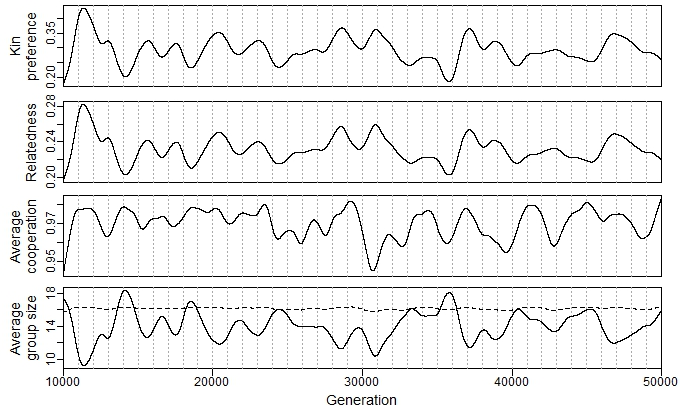
*White noise test and within run correlations*

During every run each output parameter oscillated (see figure 3 for representative run). Significant values of the Fisher's κ statistic carried out on randomly chosen runs, allow us to reject the null hypothesis that the fluctuations observed in the series are due to white noise (table 3).

During the runs, the oscillating values of cooperation are correlated with group size and relatedness is correlated with kin preference. Kin preference and relatedness are counter-correlated with cooperation and group size (figure 2).

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| **Parameter** | **Fisher’s κ** | **P value** |
| Average cooperation | 672.3 | <<0.001 |
| Average group size | 1380.3 | <<0.001 |
| Relatedness | 730.1 | <<0.001 |
| Kin preference | 1757.4 | <<0.001 |

**Table 3:** The Fisher’s κ value and corresponding p value for a randomly choose run (R=0.1, C=0.06, β=0.2) . As all p values were below 0.05 we can conclude that the oscillations we not due to white noise.



**Fig 4:** Time series showing oscillations for R=0.1, c=0.06, β=0.2. Lines shown are cubic spline fits with flexibility parameter λ= 0.001 on the original data. The first 10000 records of the run were removed to ensure that the cycle had reached equilibrium. The dotted line in the bottom panel is the optimum group size.

**Discussion**

*Leticia’s note to lab: Discussion still needs work, as some thinking is still needed to better understand the patterns in this simulations and how they relate to results from previous models—i.e., the Am Nat 2002, 2004 papers and the van Veelen et al. 2010. Feel free to contribute your thoughts to this. Ruth will add more examples and references, as well.*

It is very interesting to note that under the majority of parameter combinations, cooperation evolves to a high level, even if relatedness within groups remains low. This is a somewhat counterintuitive result. Van veelen et al (2010) showed that for small group it pays for an individual to cooperate while cooperating becomes unfavourable if an individual finds itself in a larger group. This can be seen as reflecting the success with which a task can be performed.; if groups are very large, cooperating may not pay off enough to compensate for the costs.

It has been demonstrated many times that the evolution of costly altruistic behaviours can be facilitated when groups consist of close relatives (refs •••) However, it has not often been considered that there are ecological and demographic factors that may prevent groups from restricting themselves to close kin (but, see Avilés et al. 2004). Understanding the conditions that influence the evolution of kinship rules of admission will thus give us insight into why and how the composition of social groups varies among species and environments (Pamilo, Gertsch, Thoren, *et al.*, 1997; Ross, 2001; Clutton-Brock, 2002; Beckerman, Sharp & Hatchwell, 2011).

Our model shows how the demographic characteristics of a species play a fundamental role in determining the kin composition of its social groups. Our main finding is that, if the size of the groups is externally determined, the fecundity of the species has the largest effect on the kin composition of groups. Intriguingly, the group carrying capacity and the cost of cooperation only had a small influence on the composition of the social groups, except in cases where the intrinsic rate of growth was very low and costs of cooperation high (Fig. 3).

*Explanation of effects of r, Beta and c*

Other studies have suggested that there should be a trade-off when forming social groups between group size and kin restrictiveness (Avilés, Fletcher & Cutter, 2004; Altmann, 1979; Chesser, 1998; Lukas, Reynolds, Boesch, *et al.*, 2005). Our evolutionary simulations show that, given that groups must reach a certain size for the full advantage of group living to be realized, the fecundity of a species is the most powerful determinant of the level of kin preference that evolves and thus the kin composition of the groups that form. As the fecundity of a species increases, more relatives are available to form groups. This, in turn, allows groups to be more restrictive in their admission requirements, thus the level of kin preference exhibited can increase. It should be noted that in this model we represented semelparous species with new groups reforming every generation. If one considers species that reproduce multiple times, given enough time, groups of close kin could be formed by internal recruitment (Avilés, Fletcher & Cutter, 2004), in which case the fecundity of the species may not be as important.

While the size of the groups that formed was largely determined by the group carrying capacity, it is also expected to be influenced, given equations (1), by levels of cooperation (van Veelen et al. 2010), an expectation that is realized in the simulations. As the intrinsic rate of growth increases so did the average group size (figure 2). Even though the level of cooperation remains close to one, if groups are too large, cheaters can invade more easily. Allowing only kin group admittance prevents this from occurring. There could therefore be a trade-off between group size and average relatedness within groups, where being unable to restrict groups to kin reduces the size of groups (refs •••). For example, Lukas et al. (2005) demonstrated that in chimpanzees the level of relatedness within groups was related to the size of the groups, with smaller groups consisting of closer kin than larger groups

Counter-intuitively, cooperation nearly always evolves to very high levels. van Veelen et al. (2010) demonstrated analytically using the fitness function we use here (equation 1) , that it pays for an individual to cooperate if it finds itself in a small group that already has a certain average level of cooperation. However it becomes unfavourable to cooperate if an individual finds itself in a large group. ‘Large’ is defined as the point where a further increase in an individual’s cooperative tendency would decrease its absolute fitness. What ‘large’ is depends on the ecological constraints of a species and population, such as the cost of cooperation, the group carrying capacity and the average cooperation level within the group an individual finds itself in. As the cost of cooperation and the group carrying capacity increases, what is considered ‘large’ also increases. From figure 1 van Veelen (2010) showed that if groups were not ‘large’ then the direction of selection is towards a maximum cooperative tendency and an intermediate group size. However, even though deterministically maximum cooperative tendency is predicted, within the simulation mutation keeps this figure slightly lower.

Within our simulation groups are only ‘large’ when a high cost of cooperation is coupled with a high group carrying capacity. Within nature, this can be considered as the situation when the cost of cooperating is too high or the tasks needed to be performed by a group require so many members, that having only a few individuals cooperating does not increase an individual’s fitness and could even decrease it (eg bark beetles refs •••). However, the reduction in an individual’s direct fitness is mitigated if groups admit only kin. Even with high costs of cooperation and large group carrying capacity, if there are enough kin around high levels of cooperation and altruism can evolve. An example of organisms where restrictive kin admission rules allows the formation of very large social groups is the eusocial insects eg (••••)

Interestingly we show that kin preference does not go to zero, even for very small rates of growth. This may be because leaving relatives out of a group has an indirect fitness cost as these individuals will be eliminated from the population. So this implies that groups forming in nature will not purposefully exclude relatives from social groups (unless there is direct competition between kin).

*Explanations of correlations within runs*

Another interesting result is the periodic cycles that emerge within individual runs, with cooperation correlated with group size, both of which are counter-correlated with relatedness and kin preference. It is obvious that relatedness should be correlated with kin preference, as kin preference exhibited directly determines admission within groups as a function of kinship.

Group size is likely counter-correlated with kin preference and relatedness because as kin preference increases within the runs, entry to groups becomes more restrictive and therefore group sizes decreases. It should be noted that this is contrary to the overall averages (figure 2), where an increased kin preference is correlated with an increase in group size. Within runs there is likely to be a direct causal effect of kin preference to group size, but other factors affect kin preference and group size when comparing between runs with different parameter values.

The close correlation between group size and level of cooperation reflects the fact that optimum and stable group sizes are directly proportional to the averagecooperative tendencies in the groups, as seen in eq (3) in Avilés (1999); eqs (4 & 5) in Appendix of Avilés et al. (2004), and Table 1 of Van Veelen et al. (2010). As the average level of cooperation increases, so the optimum group size increases which causes group size to increase and vice versa.

The cycling observed and the counter-correlation between the cooperation/group size and kin preference/relatedness time series is interesting. It is plausible that as kin preference, and thus degree of relatedness within groups, increases, greater levels of cooperation evolve, albeit with a certain time lag. Greater levels of cooperation, however, favour the formation of larger groups due to the increase in the optimum group size. The formation of larger groups may require less restrictive admission requirements, thus causing the kin preference rules to bounce back to lower levels. This will in turn lower the degree of relatedness within groups, which would then allow the accumulation of less cooperative cheaters within groups. This may then lead to lower group productivity and thus favour again groups that are more restrictive in their kinship admission rules.

Modelling the evolution of cooperation and kin preference as a two trait optimization process has demonstrated that how restrictive a group can be admitting only kin depends on the demographic and ecological characteristics of a species or population. However it is also of note that being unable to restrict ones group to kin does not affect the level of cooperation that evolves, except when the cost to the individual of cooperation is very high and the ecologically determined optimum group size is difficult to attain.

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